



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter J. Shortridge et al. Art Unit : 1638  
Serial No. : 09/641,114 Examiner : Unknown  
Filed : August 17, 2000  
Title : METHOD FOR MINIMIZING CONTAMINATION OF CROPS AND  
PRODUCTS DERIVED THEREFROM

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 2, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ A check in the amount of \$934.00 (which covers the basic filing fee of \$355, the additional dependent claims fees of \$279, the additional independent claims fee of \$40, the surcharge for late filing of \$65, and the 2 month extension fee of \$195);
- ☒ Copy of Notice to File Missing Parts;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ 3 Combined Declarations and Powers of Attorney in compliance with 37 CFR §1.63;
- ☒ Petition for Extension of Time; and
- ☒ Other: return postcard

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Date: January 26, 2001

Respectfully submitted,

Ronald C. Lundquist

Ronald C. Lundquist, Ph.D.  
Reg. No. 37,875

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## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit 1-26-01

Signature

Jan Marie Ferguson

Jan Marie Ferguson

Typed or Printed Name of Person Signing Certificate



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,114	08/17/2000	Peter J. Shortridge	11984-005001

Ronald C Lundquist Phd  
Fish & Richardson PC PA  
Suite 3300  
60 South Sixth Street  
Minneapolis, MN 55402



## FORMALITIES LETTER



\*OC000000005445416\*

Date Mailed: 10/02/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$636.
  - \$558 for 31 total claims over 20.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1456.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

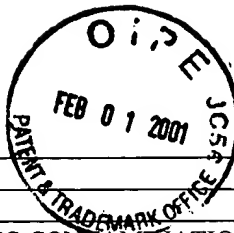
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/02/2001 MWARMOL 00000056 09641114  
01 FC:201 355.00 DP  
02 FC:202 40.00 DP  
03 FC:203 279.00 DP  
04 FC:205 63.00 DP

10/2/00

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Applicant or Patentee: Peter S. Shortridge et. al.  
Serial or Patent No.: 09/641,114  
Filed or Issued: August 17, 2000  
For: METHOD FOR MINIMIZING CONTAMINATION OF CROPS AND PRODUCTS DERIVED THEREFROM

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Northland Seed & Grain Corp.  
Address of Small Business Concern: 462 Holly Avenue  
St. Paul, MN 55102

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.802, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD FOR MINIMIZING CONTAMINATION OF CROPS AND PRODUCTS DERIVED THEREFROM by inventor(s) PETER J. SHORTRIDGE, MICHELLE A. DICKHUDDT, AMY A. NANKIVIL, HEATHER DICKHUDDT, KELLY DOUGHERTY AND KATE LEAVITT described in:

- ☐ the specification filed herewith.  
☒ application serial no. 09/641,114, filed August 17, 2000.  
☐ patent no.   , issued   .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: Peter J. Shortridge  
Address: 462 Holly Avenue, St. Paul, MN 55102  
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name:	<u>Peter J. Shortridge</u>
Title:	<u>President</u>
Address:	<u>462 Holly Avenue</u> <u>St. Paul, MN 55102</u>

Signature: 

Date: 11/1/00